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Commissioner for Patents

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By: Kon anton

Ron Anton

Appln. No.

09/724,570

Confirmation No. 6101

Applicant

Dale B. Schenk

:

November 28, 2000

TC/A.U.

Filed

16/1647

Examiner

Christopher Nichols

Docket No.

015270-005914US

Customer No.

20350

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and §1.98

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/585,817, filed June 1, 2000 (Attorney Docket No. 015270-005910US), excepting reference numbers 360-361, 363-366, 369-376, 395, and 397-410, copies of which are submitted herewith. Copies of reference numbers 362, 367-368, 386 and 392 are not provided as the Examiner cited these references on the PTO-892 form attached to the Office Action mailed July 25, 2003.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Dale B. Schenk

Application No.: 09/724,570

Page 2

The Attached PTO/SB/08B form cites "'Grant Application; Raso, Immunology of Alzheimer's Disease', 1/1998," cited by the Examiner in Application No. 09/579,690, is submitted herewith as cite no. 304. Application No. 09/579,690 is a commonly owned copending application directed to subject matter related to the instant application. Applicant believes "1/1998" is not the publication date as alleged by the Examiner, but refers to the grant funding cycle. Applicants' further believe the date of public accessibility, if any, is unknown. Applicant wishes to bring to the Examiner's attention that a copy of a grant application believed to have been submitted by Victor Raso for NIH Grant 1 R43 AGI 5746-01 on August 29, 1997 is cited on the attached PTO/SB/08B form as cite no. 144. Cite no. 144 is a redacted version of cite no. 304. Applicant obtained cite no. 144 under the Freedom of Information Act (FOIA). It is believed that the grant proposal would not have been accessible under FOIA before April 2, 1998, but the exact date of public accessibility, if any, is not known to Applicant.

The Assignee of the instant application is a licensee of U.S. Patent No. 5,688,651, which is directed in part to subject matter related to the instant application. U.S. Patent No. 5,688,651 is now undergoing examination reissue as Application No. 09/441,140. U.S. Patent No. 5,688,651 and U.S. Application No. 09/441,140 are submitted herewith as Cite Nos. 16 and 283, respectively.

Applicant also cites commonly owned copending applications directed to related subject matter:

09/201,430 filed 11/30/98; 09/497,553 filed 02/03/00; 09/724,477 filed 11/28/00; 09/723,927 filed 11/28/00; 09/724,762 filed 11/28/00; 09/724,102 filed 11/28/00; 09/724,489 filed 11/28/00; 09/322,289 filed 05/28/99; Dale B. Schenk

Application No.: 09/724,570

Page 3

09/723,713 filed 11/27/00;

09/723,760 filed 11/27/00;

09/724,319 filed 11/27/00;

09/723,384 filed 11/27/00;

09/724,495 filed 11/27/00;

10/429,216 filed 05/30/03;

09/580,015 filed 05/26/00;

09/724,940 filed 11/28/00;

09/724,961 filed 11/28/00;

09/580,018 filed 05/26/00;

09/724,552 filed 11/28/00;

09/723,544 filed 11/28/00;

09/724,273 filed 11/28/00;

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09/724,288 filed 11/28/00;

09/580,019 filed 05/26/00;

09/723,765 filed 11/28/00;

09/724,291 filed 11/28/00;

09/204,838 filed 12/03/98;

09/724,929 filed 11/28/00;

09/585,817 filed 06/01/00;

09/724,567 filed 11/28/00;

09/724,575 filed 11/28/00;

09/724,953 filed 11/28/00;

09/724,570 filed 11/28/00;

09/585,656 filed 06/01/00;

09/723,766 filed 11/27/00;

09/723,725 filed 11/27/00;

09/579,690 filed 05/26/00;

09/979,701 filed 03/13/01 (U.S. National Stage of PCT/US00/14810 filed 05/26/00);

Dale B. Schenk

Application No.: 09/724,570

Page 4

09/979,952 filed 04/04/02 (U.S. National Stage of PCT/US00/15239 filed 06/01/00);

and,

09/980,568 filed 03/12/02 (U.S. National Stage of PCT/US00/15302 filed 06/01/00).

Applicant also cites the following copending applications directed to related subject matter but subject to different assignment:

```
10/010,942 filed 12/06/01;
10/232,030 filed 08/30/02;
10/388,389 filed 03/12/03;
60/444,150 filed 02/01/03;
10/388,214 filed 03/12/03; and,
60/474,654 filed 05/30/03.
```

Applicant further cites the following commonly owned abandoned applications directed to related subject matter:

```
60/067,740 filed 12/02/97;
60/080,970 filed 04/07/98;
60/067,219 filed 12/03/97;
60/079,697 filed 03/27/98;
09/724,921 filed 11/28/00;
60/137,010 filed 06/01/99;
60/137,047 filed 06/01/99; and,
60/136,655 filed 05/28/99.
```

Applicant also cites the following abandoned application directed to related subject matter but subject to different assignment:

```
60/251,892 filed 12/06/00; and, 60/363,751 filed 03/12/02.
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Application No.: 09/724,570

Page 5

Applicant points out that the following applications are now commonly assigned

but were previously subject to different assignment than the present application:

60/067,219 filed 12/03/97;

60/079,697 filed 03/27/98;

09/204,838 filed 12/03/98;

09/724,921 filed 11/28/00; and,

09/724,929 filed 11/28/00.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a

PATENT

search has been conducted or that this statement encompasses all the possible relevant

information, and no inference should be made that the information and references cited are, or

are considered to be material to patentability because they are in this statement. No inference

should be made that the information and references cited are prior art merely because they are in

this statement.

This IDS is being filed before the mailing date of the final Office Action or

Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please

deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

marie L. Alli

Reg. No. 42,397

TOWNSEND and TOWNSEND and CREW LLP

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Tel: 650-326-2400 Fax: 650-326-2422

RLC:crf

60106698 v1

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for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

Complete if Known							
Application Number	09/724,570						
-Filing Date	November 28, 2000						
First Named Inventor	Schenk, Dale B.						
Art Unit	1647	• .					
Examiner Name	Christopher Nichols						
Attorney Docket Number	015270-005914US						

		7	U.S. PATENT DO	COMENIS)	T
Examiner	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Da	ite App	Name of Patentee or blicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	360	2003/0073655 A1	04-17-2003		Chain	
	370	2003/0068325 A1	04-10-2003		Wang	
	378	2002/0197258 A1	12-26-2003		Ghanbari et al.	
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	340	2002/0162129 A1	10-31-2002		Lannfelt	
	395	2002/0160394 A1	10-31-2002		Wu	
	326	2002/0136718 A1	09-26-2002		Raso	
	379	2002/0132268 A1	09-19-2002		Chang et al.	,
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	362	2002/0094335 A1	07-18-2002		Chalifour et al.	
	306	6,417,178 B1	07-09-2002		Klunk et al.	
	376	2002/0086847 A1	07-04-2002		Chain	. "
	405	6,399,314 B1	06-04-2002		Krishnamurthy	
	342	2002/0009445 A1	01-24-2002		Du et al.	
	267	6,294,171 B2	09-25-2001		McMichael	
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	230	6,262,335 B1	07-17-2001		Hsiao et al.	
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	5	5,877,399	03-02-1999		Hsiao et al.	
xaminer Signature				Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231 60106599 v1



¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute of form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

DEC 2 9 2003

Sheet

(use as many sheets as necessary)

24

	Complete if Known	
Application Number	09/724,570	·····
Filing Date	November 28, 2000	
First Named Inventor	Schenk, Dale B.	
Art Unit	1647	
Examiner Name	Christopher Nichols	
Attorney Docket Number	015270-005914US	

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8	5,854,204	12-29-1998	Findeis et al.	
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26	5,434,170	07-18-1995	Andrulis, Jr.	

Examiner Signature	Date Considered	·

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015270-005914US

Glenner et al.

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Application Number 09/724,570

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

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C mplete if Known

Application Number 09/724,570

Filing Date November 28, 2000

First Named Inventor Schenk, Dale B.

Art Unit 1647

Examiner Name Christopher Nichols

Attorney Docket Number

100		02-07-1995	Cordell	
181	5,270,165	12-14-1993	Van Nostrand et al.	
284	5,231,170	07-27-1993	Averback	
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Examiner	Cite No.1	Document Number Number Kind Code ² (if known)	Filing Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	296	60/254,465	12-08-2000	Holtzman et al.				
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				FOREIGN PAT	ENT DOCUM	ENTS		
F	C:4-	Fo	reign Patent Docur	ment	Publication	Name of Patentee or	Pages, Columns, Lines,	
Examiner Initials*	No. ¹		Applicant of Cited Where Relevant Passa	Where Relevant Passages or Relevant Figures Appear	T ⁶			
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Examiner Signature		Date Considered	

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60106599 v1

Sheet

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4,666,829

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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 Application Number
 09/724,570

 Filing Date
 November 28, 2000

 First Named Inventor
 Schenk, Dale B.

 Art Unit
 1647

 Examiner Name
 Christopher Nichols

 Attorney Docket Number
 015270-005914US

(use as many sheets as necessary)

Sheet 4 of 24

Substitute for form 1449A/PTO

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4	EP	666 080	A1	08-09-1995			
4:	P EP	652 962	B1	12-16-1998			
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5	3 WO	99/60024	A1	11-25-1999			
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5	3 WO	99/27944	A1	06-10-1999			<u></u>

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13	Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Attorney Docket Number

STATEMENT BY APPLICANT

24

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Application Number 09/724,570

Filing Date November 28, 2000

First Named Inventor Schenk, Dale B.

Art Unit 1647

Examiner Name Christopher Nichols

015270-005914US

(use as many sheets as necessary)

form 1449A/PTO

Substitute f

Sheet

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60	wo	98/44955	A1	10-15-1998		
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Application Number	09/724,570	
Filing Date	November 28, 2000	
First Named Inventor	Schenk, Dale B.	
Art Unit	1647	
Examiner Name	Christopher Nichols	
Attorney Docket Number	015270-005914US	

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Examiner Name	Christopher Nichols
Attorney Docket Number	015270-005914US

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First Named Inventor	Schenk, Dale B.	
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Examiner Name	Christopher Nichols	
Attorney Docket Number	015270-005914US	

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Application Number 09/724,570 November 28, 2000 **Filing Date First Named Inventor** Schenk, Dale B. Art Unit 1647 **Examiner Name Christopher Nichols**

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109/724,570 INFORMATION DISCLOSURE November 28, 2000 Filing Date STATEMENT BY APPLICANT **First Named Inventor** Schenk, Dale B. Art Unit 1647

(use as many she	ets as	necessary)	Examiner Name	Christopher Nichols
Sheet	11	of	24	Attorney Docket Number	015270-005914US

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First Named Inventor Schenk, Dale B.

Art Unit 1647

Examiner Name Christopher Nichols

(use as many sheets as necessary)

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